

# Account Application Form

## INDIVIDUAL / JOINT

个人 / 联名



Please tick the appropriate box 请在适当空格加 ✓  
Please delete whichever is inapplicable. 请删去不适用者

Account Opening Date 开户日期

PLEASE COMPLETE THE APPLICATION FORM USING BLOCK LETTERS WHERE APPLICABLE. 请以正楷填写

### Company 公司

**KAB STRATEGY LIMITED**  
**三甲金融策略有限公司**

Office 203, Stavrakis Court,  
13 Griva Digeni Avenue,  
6030 Larnaca, Cyprus.

License Number: 058/05  
注册牌照号码: 058/05

Account No. 账户号码

A.E. Code 客户代表号码

- |   |   |
|---|---|
| <input type="checkbox"/> Individual Account 个人账户            | <input type="checkbox"/> Joint Account 联名账户   |
| <input type="checkbox"/> Securities Cash (A) 股票现金 (甲)       | <input type="checkbox"/> Portfolio Management (B) 资产管理 (乙)  |
| <input type="checkbox"/> International Futures (C) 国际期货 (丙) | <input type="checkbox"/> Rolling Futures/ Spot Currencies/ Precious Metals (D)<br>差价合约/ 现货外汇/ 贵金属 (丁) |
| <input type="checkbox"/> Mutual Funds (E) 互惠基金 (戊)          |   |
| <input type="checkbox"/> ECN (F) 三甲专业投资账户 (己)               |   |

Online account 网上户口:  Yes 是  No 否

### First Account Applicant's Personal Information 第一户口申请人之个人资料

Mr. 先生  Mrs. 太太  Miss. 小姐

Name In English 英文姓名

Name In Chinese 中文姓名

ID / Passport 身份证 / 护照号码\*

Nationality 国籍

Date of Birth 出生日期 (dd/mm/yy) (日/月/年)

Mobile No. 手机号码

Home or Fax No. (if any) 住宅或传真号码 (如有)

E-mail Address 电邮地址

Residential Address 住址

- |   |  |   |
|---|--|---|
| <input type="checkbox"/> Owned 自置           | <input type="checkbox"/> Rented / Monthly Rental 租用 / 每月租\$ _____          | <input type="checkbox"/> Relative's 亲属的楼宇 |
| <input type="checkbox"/> With Parents 与父母同住 | <input type="checkbox"/> Mortgaged / Monthly Installment 按揭 / 每月供款\$ _____ | <input type="checkbox"/> Quarters 宿舍      |

Employer Name 公司名称

Employer Phone No. 公司电话

Year(s) with Existing Employer 任职年期

Position 职位

Office Address 公司地址

Correspondence Address 邮寄地址  Residential 住址  Office 公司

### Licensed / Registered Person Declaration 持牌 / 注册人士申报

Are you a licensed / registered person, or an employee of a licensed / registered firm (e.g. employee of a brokerage firm, bank etc.) with the Cyprus Securities and Exchange Commission (the "CYSEC")?

客户是否塞浦路斯证监会的持牌或注册人士或塞浦路斯证监会注册持牌法团的雇员?

No 否  Yes, please specify 是, 请列明 \_\_\_\_\_

Do you have any relative(s) working in KAB STRATEGY LIMITED (which includes its group company and subsidiaries)?

阁下是否与三甲金融策略有限公司任何雇员有亲属关系 (包括三甲金融策略有限公司的子或母公司)?

No 否  Yes 是 Name 姓名: \_\_\_\_\_ Relationship 关系: \_\_\_\_\_

**Second Account Applicant's Personal Information 第二户口申请人之个人资料** Mr. 先生  Mrs.太太  Miss 小姐

Name In English 英文姓名	Name In Chinese 中文姓名
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ID / Passport 身份证 / 护照号码*	Nationality 国籍	Date of Birth 出生日期(dd/mm/yy) (日/月/年)
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Mobile No. 手机号码	Home or Fax No. (if any) 住宅或传真号码 (如有)	E-mail Address 电邮地址
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Residential Address 住址
------------------------

Owned 自置  Rented / Monthly Rental 租用 / 每月租\$ \_\_\_\_\_  Relative's 亲属的楼宇  
 With Parents 与父母同住  Mortgaged / Monthly Installment 按揭 / 每月供款\$ \_\_\_\_\_  Quarters 宿舍

Employer Name 公司名称
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Employer Phone No. 公司电话	Year(s) with Existing Employer 任职年期	Position 职位
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Office Address 公司地址
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Correspondence Address 邮寄地址  Residential 住址  Office 公司

**Licensed / Registered Person Declaration 持牌 / 注册人士申报**

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No 否  Yes, please specify 是, 请列明 \_\_\_\_\_

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No 否  Yes 是 Name 姓名: \_\_\_\_\_ Relationship 关系: \_\_\_\_\_

**Account Applicant's Other Information 户口申请人的其它数据**

Are you the ultimate beneficial owner(s) of the account?

阁下是否户口的最终受益人?  Yes 是  No, Please provide detail information of below boxes 否, 请提供下列数据

Name 姓名 (last Name 姓) (Given Name 名)	ID / Passport 身份证 / 护照号码*	Contact Phone No. 电话
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Address 地址
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Do you maintain any other account(s) with KAB STRATEGY LIMITED? 阁下现时是否已持有其它三甲金融策略有限公司的户口?

No 否  Yes, Please provide detail information of below boxes 是, 请提供下列数据

Name 姓名 (last Name 姓) (Given Name 名)	Account Type 户口类别	Account No. 户口号码
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**Account Applicant's Bank Details 户口申请人的银行资料**

(Funds will be transferred to the following account upon your fund withdrawal instruction.) (根据客户的提款指示, 款项将转入以下户口)

Main Banker's Name 主要银行名称	Bank Branch Address 银行分行地址
---------------------------	----------------------------

A/C No. 户口号码	A/C Holder's Name 户口持有人名称	<input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元 <input type="checkbox"/> RMB 人民币
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Other Banker's Name 其它银行名称	Bank Branch Address 银行分行地址
----------------------------	----------------------------

A/C No. 户口号码	A/C Holder's Name 户口持有人名称	<input type="checkbox"/> HKD 港元 <input type="checkbox"/> USD 美元 <input type="checkbox"/> RMB 人民币
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**First Account Applicant's Financial Profile and Investment Experience 第一户口申请人财务纪录及投资经验**

(The Companies are required to obtain the below information in order to fulfill the "Know Your Client (KYC)" requirement of the Cyprus Securities and Exchange Commission (the "CYSEC"))

(所需资料是根据 Cyprus Securities and Exchange Commission (the "CYSEC") 所制定的认识你的客户 (KYC) 要求而索取)

<p><b>Estimated Annual Income (HK\$)</b> <b>估计年薪 (港元)</b></p> <p><input type="checkbox"/> HK\$200,000 - HK\$500,000</p> <p><input type="checkbox"/> HK\$500,001 - HK\$1,000,000</p> <p><input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000</p> <p><input type="checkbox"/> Above HK\$5,000,000 以上</p> <p><input type="checkbox"/> Other Income Source : _____</p>	<p><b>Approximate net asset value (HK\$) (Total Assets – Total Liabilities)</b> <b>估计资产净值 (港元) (总资产 - 总负债)</b></p> <p><input type="checkbox"/> Under HK\$500,000 以下</p> <p><input type="checkbox"/> HK\$500,000 - HK\$3,000,000</p> <p><input type="checkbox"/> HK\$3,000,001 - HK\$5,000,000</p> <p><input type="checkbox"/> HK\$5,000,001 - HK\$30,000,000</p> <p><input type="checkbox"/> Above HK\$30,000,000 以上</p>																				
<p><b>Investment Objectives 投资目标</b></p> <p><input type="checkbox"/> Long Term 长线 3-5 years 年      <input type="checkbox"/> Capital Growth 增值 2-3 year 年      <input type="checkbox"/> Medium Term 中线 0.5-2 years 年      <input type="checkbox"/> Short Term 短线 Less than half Year 少于半年</p>																					
<p><b>Investment Experience 投资经验(年资)</b></p> <p><input type="checkbox"/> Nil 没有    <input type="checkbox"/> Less than 1 Year 少于1年    <input type="checkbox"/> 1-3Years 年    <input type="checkbox"/> 3-5Years 年    <input type="checkbox"/> 5-10Years 年    <input type="checkbox"/> Over 10Years 超过10年</p>																					
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**Second Account Applicant's Financial Profile and Investment Experience 第二户口申请人财务纪录及投资经验**

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(所需资料是根据 Cyprus Securities and Exchange Commission (the "CYSEC") 所制定的认识你的客户 (KYC) 要求而索取)

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**Contract Notes and Statements 成交单据及结单**

Email Address: ( Max. 50 Characters)  
选择电邮方式传递请填上电邮地址:

**Signing Arrangement 签署安排**

(Only applicable for joint account applicants) (只适用于联名户口申请者)

You decide that the valid document in connection with the operation (including fund withdrawal and asset transfer)

of your account must be signed by any \_\_\_\_\_ of account holders.

阁下决定所有户口操作(包括提款及资产转移)的有效档必须由其中 \_\_\_\_\_ 位户口持有人签署。

**Declaration 声明**

The Account Applicant(s) represent(s) that the information on this Account Application Form is true, complete and correct and that the representation in the attached Customer Agreement of KAB STRATEGY LIMITED (including the Futures, Commodities, Options on Futures Trading Addendum if applicable) (Agreement) are accurate. Information on this Account Application Form and representations in the Agreement are collectively referred as "The Account Opening Information". KAB STRATEGY LIMITED is entitled to rely fully on such Account Opening Information for all purposes, unless KAB STRATEGY LIMITED receives notice in writing of any change. KAB STRATEGY LIMITED is authorized at any time to contact anyone, including but without limitation to banks, brokers or any credit agency for purpose of verifying the Account Opening Information.

All transactions to be concluded with or through KAB STRATEGY LIMITED shall be subject to the terms and conditions of the Agreement. Please read the Agreement carefully before signing this Account Application Form. By signing below, the Account Applicant(s) confirm(s) that he/she/they has/have read, understood and accepted the Agreement, in particular the section entitled Risk Disclosure Statements and shall be bound by the Agreement as it may be amended from time to time.

户口申请人兹声明在本开户申请表内的数据属实、完整及正确,而附上三甲金融策略有限公司的【客户协议】(如适用包括其它附件)(以下简称为《协议》)内的一切申述准确。本开户申请表内的数据及《协议》内的申述,以下统称【开户资料】,除非三甲金融策略有限公司接到更改有关【开户资料】内容的书面通知,三甲金融策略有限公司有权在任何用途上完全依赖这些【开户资料】。三甲金融策略有限公司有权随时联络任何人,包括但不限于公司之银行、经纪或任何信贷调查机构,以求证实【开户资料】内所载之内容。

所有由户口申请人或透过三甲金融策略有限公司进行之交易均受《协议》之条文限制。请于申请户口前细阅《协议》各项条文。于下方签署乃确认户口申请人已细阅、明白及同意《协议》(尤其【风险披露声明】一节)的各项条文内容,并接受该等《协议》的各项条文会不时作出修改。

**Client's Signature 客户签署**

<b>First Account Applicant's Signature</b> 第一户口申请人签署  X	<b>Second Account Applicant's Signature</b> 第二户口申请人签署  X
Date 日期	Date 日期

**FOR OFFICE USE ONLY**

<b>Name of Account Executive</b>  The Client has been known to _____ (the Account Executive) for _____ Years	<b>Name of Introducer</b>  The Client has been known to _____ (the Introducer) for _____ Years	<b>Signature of A.E / Introducer:</b>  _____ Date
<b>Recommendations</b>  _____	<b>Documentation Checked By</b>  _____	Date

**TERMS & CONDITIONS****Stock Account:**

T+O Cash in advance

Stock Market:  Hong Kong  USA  
 Singapore  others, please specify: \_\_\_\_\_

**Futures Account:**

Hang Seng Index Futures  Mini Hang Seng Index Futures  
 International Futures  Rolling Futures  
 Others please specify: \_\_\_\_\_

No. of Contracts: \_\_\_\_\_  
Market:  Hong Kong  USA  
 Singapore  Others, please specify: \_\_\_\_\_

**Spot Currencies****Precious Metals Account:**

100 oz Loco London Gold  10 oz Loco London Gold  
 5000 oz Loco London Silver  500 oz Loco London Silver  
 Others please specify: \_\_\_\_\_

**Mutual Funds Account:**

Global Investment House  Mayfair  
 Value Partners (VP)  
 Others please specify: \_\_\_\_\_

All limits are for credit control purpose only, and not our commitment. We may in our discretion change such trading limit at any time without notice to the client

Commission Rate:	Interest Rate:	Term:
	Approved By Head / Deputy Head:	Date