

Discretionary Account (Margin) for IPO Service-Subscription Form/ Cancellation Form
全权委托新股认购管理(保证金)服务申请/终止表格

1. Important Notice 重要提示

The Discretionary Account (Margin) for IPO Service- Subscription Form/ Cancellation Form (Hereunder call "Form") forms an integral part of and should be read in conjunction with the "Client Account Agreement".

本全权委托新股认购管理(保证金)服务申请/撤回部份资金/终止表格(以下简称“表格”)是三甲的《客户协议合约》不可分割的一部分, 客户应与三甲的《客户协议合约》相结合阅读。

The Discretionary Account (Margin) for IPO Service is ruled and governed by this Form and the "Client Account Agreement". Any discrepancies of definition and contents whatsoever, shall follow the "Client Account Agreement".

该全权委托新股认购管理(保证金)服务是受本表格和三甲的《客户协议合约》制约。二者定义和任何内容如有冲突, 应当以三甲的《客户协议合约》为准。

The Discretionary Account (Margin) for IPO Service may use of leverage investment strategy for subscription. The leverage investment strategy involves high risk, investors should be aware of losing their entire investment and further amounts. Investors must be responsible for their own investments.

全权委托新股认购管理(保证金)服务是采用杠杆化投资策略。杠杆化投资策略的交易存在很高的风险, 投资者应清楚其损失可能是其全部资金, 甚至涉及进一步亏损。投资者必须对其投资自行负责。

建议阁下在填写本申请表前应先阅读相关《客户服务协议》的风险披露声明及相关新股认购的章程。

It is highly recommended you read the related IPO prospectus and the risk disclosure statement of the "Client Account Agreement" before completing this form.

请保留副本作记录。请以中文正楷填写及在合适方格内打勾。

Please write in BLOCK letters and tick the boxes where applicable. Please make a copy for reference.

2. Applicant(s)' Information 申请人资料

Applicant Name: 申请人姓名:	(Chinese) (中文) 先生/女士	Second Applicant Name (if applicable): 第二申请人姓名(如有):	(Chinese) (中文) 先生/女士
	(English) (英文) Mr/Ms		(English) (英文) Mr/Ms
ID/Passport No.: 身份证/护照号码:		ID/Passport No.: 身份证/护照号码:	
Applicant A/C No.: 申请人帐户号码:		Email: 电子邮箱:	

3. Investment Aptitude, Risk Level, Portfolio and Investment Strategy and Fees 投资组合, 投资策略, 风险程度与费用

Investment Aptitude 投资取向	Risk Level 风险程度	Portfolio & Investment Strategy 投资组合与投资策略	Application Fee 申请费用	Trading Fee 交易费用
Aggressive 进取	High 高	100% Securities (IPO in Hong Kong Market) 100% 股票 (香港市场之新股认购)	HK\$ 100 港币 100 元	1. IPO subscription fee for HK\$ 100 each time; and 1.新股认购每次港币 100 元; 及 2. Trading commission of 0.25% or min. HK\$ 100, and 2.电子系统交易佣金为 0.25% 或最低港币 100 元, 以较高者计算; 及 3. IPO Margin financing interest (if any)* 3.新股孖展借贷利息(如有)* 4. Other related fees** 4.其他相关收费**

Note:

备注:

1. Odd lots are charges as board lots.

1. 不足一个买卖单位亦视作一个买卖单位计算。

2. Charges are rounded up to nearest cent.

2. 不足一仙亦视作一仙计算。

3. Additional fees may apply other than above fees.

3. 除上述费用外, 可能还涉及其它收费。

4. KAB reserves the rights to change all commissions and fees without prior notice.

4. 三甲可修改所有佣金及收费, 恕不另行通知。

* For IPO margin financing interest, it is based on the market loan interest rate. KAB reserves the rights to change and update the IPO margin financing interest rate without prior notice.

* 新股孖展借贷利息照当时市场上新股孖展借贷利率及 / 或来源为基础而设定借贷利率, 三甲有绝对的酌情权不时更新新股孖展借贷利率, 恕不另行通知。

**For other related fees, please check <http://www.kabonline.com/en/Account/Commissions.aspx>

**其他相关收费请参考 <http://www.kabonline.com/cn/Account/Commissions.aspx>

4. IPO Subscription Details 新股认购详情

Investment Amount: 投资金额:	All amount 全额资金	or/and 及/或	the amount decided by KAB 由三甲指定的资金
IPO Subscription: 认购方式:	Subscription by Cash 现金认购	or/and 及/或	by IPO margin financing 孖展借贷认购

5. Authorization of Subscription and Trading IPO in the Primary and Secondary Market 一级市场及二级市场之新股认购及交易授权

I/We hereby consented and authorized KAB Strategy Limited with full power to trade with my/our account (A/C No.: _____) in the primary and secondary market, this authorization is valid for one year; Start from ____/____/____ (dd/mm/yy) and end at ____/____/____ (dd/mm/yy).

本人/吾等同意委托三甲金融策略有限公司全权代理本人/吾等之帐户 (帐户号码: _____), 履行本理财计划的一级市场、二级市场交易授权。委托期限为一年。由____年____月____日至____年____月____日止。

In the period of authorization, I/we promised that I/we will not subscribe the IPO being listed in the Hong Kong Stock Exchange in the name of me/ us. If I/we violate that, I am/we are agreed to bare the legal responsibilities and losses arising from duplicate subscription by my/our name.
 委托期内, 本人/吾等清楚保证, 不会以个人或公司名义申购及/或沽出任何在香港证券交易所上市的新股。倘若本人/吾等违反有关保证, 本人/吾等愿意承担所有因重复申购新股而引起的法律责任及经济损失。

In the period of authorization, I/we understood and agreed that I/we could not cancel the subscription of a certain IPO,
 委托期内, 三甲申购新股后, 本人/吾等清楚及同意不能任何理由申请取消新股认购。

6. Termination of Discretionary Account (Margin) for IPO Service 终止全权委托新股认购管理 (保证金) 服务

Termination Date 终止日期	____/____/____ (dd/mm/yy) (日/月/年)
Withdrawal Amount 撤回金额	All Amount 全额资金

I/We understood and agreed to appoint KAB to be the sole agent for IPO subscription in the authorization period. In the case of termination of Discretionary Account (Margin) for IPO Service, KAB is required to check and handle the termination process after the IPO had been listed in the market.
 本人/吾等明白及同意委托三甲全权代理本人/吾等之帐户期间, 若帐户正进行新股申购, 三甲将需要于新股上市完结后, 才可处理及审核终止全权委托新股认购管理 (保证金)服务的相关申请。

I/We understood and agreed that the termination process must be done after KAB agreed to accept and finish such termination. I/We understand and are willing to assume any risks associated with the resulting. I am/we are willing to bare the risks arising such termination.
 本人/吾等明白必须三甲同意及完成处理本人提出的申请后, 才可终止全权委托新股认购管理 (保证金) 服务及自主操作交易帐户。本人/吾等明白及愿意承担由此引起之相关任何风险。

This authorization is valid for one year only as above and it will be expired automatically. I/We have read and understood the contents of the authorization and agreed to be bound by the content of this authorization.

本授权书的有效期自本授权书之日起计至委托最后期限有效, 期满后本授权书会自动失效。本人/吾等已经阅读及明白本常设证券授权书的内容, 并同意受本授权书的内容约束。

Applicant Name 申请人姓名: _____ **Applicant Signature 申请人签署:** _____ **DATE 日期:** ____/____/____
 X _____ (If company, with company chop)
 (如公司, 请盖章)

Second Applicant Name 第二申请人姓名: _____ **Second Applicant Signature 第二申请人签署:** _____ **DATE 日期:** ____/____/____
 X _____ (For second applicant)
 (帐户第二申请人)

Notes 附注

- Fax instructions 传真指示**
 Investors should consider the risks inherent in giving instructions by fax. Non-original signatures on faxes may be forged and instructions given by fax may be transmitted to wrong numbers, may never reach KAB Strategy (Cyprus) Limited (Hereinafter "KAB") and may thereby become known to third parties. KAB accepts no responsibility for any loss that investors may suffer as a result of giving instructions by fax. Investors should not authorize KAB to accept fax instructions unless the investors are prepared to undertake such risks.
 投资者应详细考虑发出传真指示的风险。传真上之签名可能被冒充。传真亦有可能被发至错误的传真号码而无法传达本公司及导致资料外泄。本公司将不负投资者因发出传真指示而蒙受的一切损失。除非投资者准备承担一切与发出传真指示有关的风险, 投资者不应授权本公司接受传真指示。
- Risk of communication and remittance 通讯及汇款的风险**
 All communications and remittances sent to KAB by the investors or by the nominee of the investors or vice versa are sent at the risk of the investors. KAB shall have no responsibility for any loss arising from the inaccuracy or failure of any communication, whether by post or fax, unless such loss results from the wilful default or negligence of KAB.
 投资者或投资者的代理与本公司之间如有任何往来通讯及汇款, 一切风险概由投资者承担。除非本公司蓄意违约或疏忽, 否则本公司概不负任何因邮寄或传真通讯失实或失败所致之损失。
- Personal Data 个人资料**
 Personal data provided by the investors on the application form, and details of transactions or dealings between the investors and KAB will be used, stored, disclosed and transferred to such persons as KAB considers necessary, including any member of the KAB Group, for any purpose in connection with the services KAB may provide to the investors, and/or in connection with matching for whatever purpose with other personal data concerning the investors, and/or for the purpose of promoting, improving and furthering the provision of services by KAB/other KAB Group members to customers generally. (2) The investors have the right to request access to and correction of any personal data or to request the personal data not to be used for direct marketing purposes.
 (i) 本公司在向投资者提供服务及/或核对投资者的其它个人资料时, 及/或本公司或三甲集团其它成员公司在推广、改善或加强其一般客户服务时, 可在任何地区使用、储存, 透露投资者在申请表格内所提供的个人资料及投资者与本公司之间进行的交易或买卖的详情, 及将有关资料转交本公司认为必要的人士, 包括三甲集团任何成员公司; (ii) 投资者有权要求取得或更改任何个人资料或要求禁止将投资者的个人资料作直销用途。